

Set-theoretic reflection principles

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For a class $\mathcal{C}$ of structures (with a fixed notion $\mathcal{N}$ of substructure) and a property $\mathcal{P}$, the reflection cardinal of $(\mathcal{C}, \mathcal{P})$ is the minimal cardinal κ such that, for any $M \in \mathcal{C}$ of cardinality $> \kappa$, if M does not satisfies the property $\mathcal{P}$, then there are stationarily many substructures N of M of cardinality $< \kappa$. If κ is the reflection cardinal of $(\mathcal{C}, \mathcal{N})$, we shall write $\kappa = \mathfrak{Rfl}(\mathcal{C}, \mathcal{P})$.

By choosing $\mathcal{C}$, $\mathcal{N}$ and $\mathcal{P}$, we can represent many set-theoretic reflection statements. If, for example $\mathcal{P}$ is simply a contradiction, and $\mathcal{N}$ is the elementary submodel relation for some logic $\mathcal{L}$, then $\kappa = \mathfrak{Rfl}(\mathcal{C}, \mathcal{P})$ is the strong form of Downward Löwenheim-Skolem Theorem down to $< \kappa$ for $\mathcal{L}$ (i.e. the assertion: for any structure $\mathfrak{A}$ of cardinality $\geq \kappa$ there are stationarily many $\mathcal{L}$ -elementary substructures of $\mathfrak{A}$ of cardinality $< \kappa$).

Of these reflection statements, the cases $\aleph_2 = \mathfrak{Rfl}(\mathcal{C}, \mathcal{P})$ and $2^{\aleph_0} = \mathfrak{Rfl}(\mathcal{C}, \mathcal{P})$ seems to be of special interest. The former may be interpreted as a pronouncement that the first uncountable cardinal $\aleph_1$ captures the situation $\neg \mathcal{P}$ good enough while the latter as the pronouncement that the continuum is large enough in connection with the property $\mathcal{P}$.

For example, if we denote with $\mathcal{L}_{stat}$ the weak second-order logic (where the second order quantifiers run over countable infinite subsets of the underlying set of a structure) together with the stationarity quantifier (i.e. $stat X \varphi$ is to be interpreted as “there are stationarily many X such that φ ”), if $\mathcal{C}^*$ denotes the class of all structures with the elementary submodel relation in $\mathcal{L}_{stat}$ as the notion of substructure, then the assertion $\aleph_2 = \mathfrak{Rfl}(\mathcal{C}^*, \exists x (x \neq x))$ is just the strong Löwenheim-Skolem theorem for the logic $\mathcal{L}_{stat}$ (i.e. the statement: for every uncountable structure $\mathfrak{A}$ there are stationarily many $\mathcal{L}_{stat}$ -elementary substructures of $\mathfrak{A}$ of cardinality $\aleph_1$). It is easy to see that this reflection principle (even without the stationarity quantifier) implies the Continuum Hypothesis.

As the example above, stronger assertions among the reflection principles of the form $\aleph_2 = \mathfrak{Rfl}(\mathcal{C}, \mathcal{P})$ imply the Continuum Hypothesis (see also [6]) while assertions of the form $2^{\aleph_0} = \mathfrak{Rfl}(\mathcal{C}, \mathcal{P})$ tend to imply that the continuum is extremely large (see [1]).

Most of the natural assertions of the form $\aleph_2 = \mathfrak{Rfl}(\mathcal{C}, \mathcal{P})$ or $2^{\aleph_0} = \mathfrak{Rfl}(\mathcal{C}, \mathcal{P})$ involves some kind of countability in the property $\mathcal{P}$.

This is the case with the reflection assertion $\aleph_2 = \mathfrak{Rfl}(\mathcal{C}_0, \mathcal{P}_0)$ where $\mathcal{C}_0$ is the class of all graphs with induced subgraphs as the notion of substructure and $\mathcal{P}_0$ is the property “of countable coloring number”. It is shown that this assertion is equivalent to the Fodor-type Reflection Principle (FRP). Using a characterization of coloring number being less than a regular cardinal, it is easy to show that the strong Löwenheim-Skolem theorem $\aleph_2 = \mathfrak{Rfl}(\mathcal{C}^*, \exists x (x \neq x))$ above implies $\aleph_2 = \mathfrak{Rfl}(\mathcal{C}_0, \mathcal{P}_0)$ (see also [5]).

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We can also consider the reflection number for the property obtained from these properties of countable character by replacing the countability by of cardinality κ . The reflection assertion for the property “of coloring number $< \kappa$ ” for regular $\kappa > \aleph_1$ is also equivalent to a higher cardinal version of FRP but it appeared that the straightforward generalization of the FRP does not work for this purpose ([3], [4]).

In this talk we will give a survey on recent results in connection with reflection statements including those mentioned above.

References

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